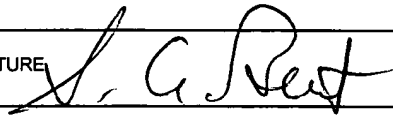


#3

JC17 Rec'd PCT/PTO

02 JUL 2001

FORM PTO-1390 (Modified) (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				028622-0106	
				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/806,580	
INTERNATIONAL APPLICATION NO. PCT/EP98/06298		INTERNATIONAL FILING DATE October 2, 1998		PRIORITY DATE CLAIMED October 2, 1998	
TITLE OF INVENTION METHOD FOR THE PRODUCTION OF (POLY)PEPTIDES BY USING TRUNCATED VARIANTS OF THE SV40 LARGE T ANTIGEN WITH AN INTACT N TERMINUS					
APPLICANT(S) FOR DO/EO/US Hansjoerg REIMANN and Reinhold SCHIRMBECK					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.					
2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).					
4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19 <sup>th</sup> month from the earliest claimed priority date.					
5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)					
6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).					
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made.					
8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
11. <input checked="" type="checkbox"/> Applicant claims small entity status under 37 CFR 1.27 .					
Items 12. to 17. below concern other document(s) or information included:					
12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
14. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.					
15. <input type="checkbox"/> A substitute specification.					
16. <input type="checkbox"/> A change of power of attorney and/or address letter.					
17. <input checked="" type="checkbox"/> Other items or information: Small Entity Declaration and Notification of Missing Requirements					

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) 09/806,580			INTERNATIONAL APPLICATION NO. PCT/EP98/06298			ATTORNEY'S DOCKET NUMBER 028622-0106		
18. <input type="checkbox"/> The following fees are submitted:						<b>CALCULATIONS</b>		PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO.....\$860.00								
International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$690.00								
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$710.00								
Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,000.00								
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$100.00								
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>						\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 Months from the earliest claimed priority date (37 CFR 1.492(e))						\$130.00		
Claims	Number Filed		Included in Basic Fee		Extra Claims		Rate	
Total Claims		-	20	=	0	x	\$18.00	\$0.00
Independent Claims		-	3	=	0	x	\$80.00	\$0.00
Multiple dependent claim(s) (if applicable)						\$270.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>						\$130.00		
Reduction by 1/2 for filing by small entity, if applicable.						\$65.00		
<b>SUBTOTAL =</b>						\$65.00		
Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f)).						+		
<b>TOTAL NATIONAL FEE =</b>						\$65.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								
<b>TOTAL FEES ENCLOSED =</b>						\$65.00		
						Amount to be:		
07/06/2001 ATRANI 00000034 09846588						refunded \$		
						charged \$		
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$65.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO:  Foley & Lardner Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5109								
						SIGNATURE 		
						NAME STEPHEN A. BENT		
						REGISTRATION NUMBER 29,768		

Applicant or Patentee: Hansjoerg REIMANN et al.

Serial or Patent No.: Unassigned

Filed or Issued: April 2, 2001

Atty. Dkt. No. 028622/0106

For: METHOD FOR THE PRODUCTION OF (POLY)PEPTIDES BY USING  
TRUNCATED VARIANTS OF THE SV40 LARGE T ANTIGEN WITH AN  
INTACT N TERMINUS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) AND 1.27 (c)) — INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled METHOD FOR PRODUCING A BALANCED ANTIBODY COMPOSITION AND FOR PURIFYING CONSTITUENTS OF BIOLOGICAL LIQUIDS described in

- ( ) the specification filed herewith  
(X) application serial no. PCT/EP98/06298, filed October 2, 1998  
( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

- ( ) no such person, concern or organization  
( ) persons, concerns or organizations listed below

\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: \_\_\_\_\_

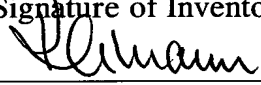
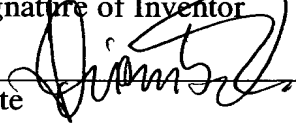
ADDRESS: \_\_\_\_\_

( ) INDIVIDUAL                      ( ) SMALL BUSINESS CONCERN                      ( ) NONPROFIT CORPORATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of

paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate: (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
<b>Hansjoerg REIMANN</b>	<b>Reinhold SCHIRMBECK</b>	
Signature of Inventor	Signature of Inventor	Signature of Inventor
		
Date	Date	Date
7/5/01	7/5/01	

028622/0106